

APPLICATION No.: 09/552,879



ATTY. DOCKET No. 47004.000056
SUPPLEMENTAL IDS: AUGUST 30, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application Of:)
John CARNAHAN *et al.*) Group Art Unit: 3628
Application Number: 09/552,879) Examiner: Siegfried E. Chencinski
Filed: April 20, 2000) Confirmation No.: 5010
For: SYSTEM AND METHOD FOR DYNAMIC, MULTIVARIABLE
COMPARISON OF FINANCIAL PRODUCTS

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, applicants are submitting the attached Form PTO-SB/08A (modified) listing references for consideration and to be made of record herein by the U.S. Patent and Trademark Office in the above-captioned application. Consideration of the foregoing references and the prompt return of a copy of the enclosed Form SB/08A with the Examiner's initials in the left column in accordance with M.P.E.P. § 609 are respectfully requested.

This Information Disclosure Statement is submitted after the mailing of a non-final Office Action, but is believed to be prior to a final Office Action or a Notice of Allowance. Accordingly, the Patent and Trademark Office is authorized to charge the fee amount specified in 37 C.F.R. § 1.17(p) of \$180.00 to Deposit Account No. 50-0206. If the payment of this fee is in error, the Commissioner is hereby authorized to charge

any fee deficit or credit any fee overpayment to the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,
HUNTON & WILLIAMS

By:



Michael P.F. Phelps
Registration No. 48,654

Hunton & Williams
1900 K Street, N.W., Suite 1200
Washington, D.C. 20006-1109
Telephone (202) 955-1500
Facsimile (202) 778-2201

Dated: August 30, 2005



Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/52,879
		Filing Date	April 20, 2000
		First Named Inventor	John CARNAHAN
		Art Unit	3628
		Examiner Name	Siegfried E. Chencinski
Sheet	1 of 5	Attorney Docket Number	47004.000056

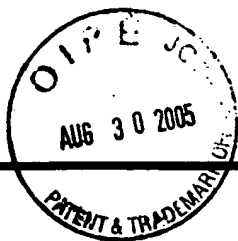
U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US- 2002/0059139	05/16/2002	Evans	
	2.	US- 2002/0111850	08/15/2002	Smrckas et al.	
	3.	US- 2003/0018492	01/23/2003	Carlson	
	4.	US- 2003/0216965	11/20/2003	Libman	
	5.	US- 2004/0030626	02/12/2004	Libman	
	6.	US- 2004/0039588	02/26/2004	Libman	
	7.	US- 4346442	08/24/1982	Musmanno	
	8.	US- 4355372	10/19/1982	Goldberg	
	9.	US- 4376978	03/15/1983	Musmanno	
	10.	US- 4597046	06/24/1986	Musmanno et al.	
	11.	US- 4674044	06/16/1987	Kalmus et al.	
	12.	US- 4774663	09/27/1988	Musmanno et al.	
	13.	US- 4972504	11/20/1990	Daniel, Jr.	
	14.	US- 5041972	08/20/1991	Frost	
	15.	US- 5297032	03/22/1994	Trojan et al.	
	16.	US- 5321933	06/21/1994	Seifert et al.	
	17.	US- 5490060	02/06/1996	Malec	
	18.	US- 5550734	08/27/1996	Tater,	
	19.	US- 5615109	03/25/1997	Eder	
	20.	US- 5615341	03/25/1997	Srikant	
	21.	US- 5671363	09/23/1997	Cristofich et al.	
	22.	US- 5689650	11/18/1997	McClelland et al.	
	23.	US- 5727161	03/10/1998	Purcell	

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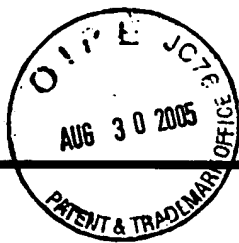
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	24.	US- 5799286	08/25/1998	Morgan	
	25.	US- 5878258	03/02/1999	Pizi et al.	
	26.	US- 5950175	09/07/1999	Austin	
	27.	US- 5970467	10/19/1999	Alavi	
	28.	US- 5974396	10/26/1999	Anderson	
	29.	US- 5978779	11/02/1999	Stein et al.	
	30.	US- 5983206	11/09/1999	Oppenheimer	
	31.	US- 5991741	11/23/1999	Speakman	
	32.	US- 5995942	11/30/1999	Smith et al.	
	33.	US- 5999907	12/07/1999	Donner	
	34.	US- 6026370	02/15/2000	Jermyn	
	35.	US- 6029139	02/22/2000	Cunningham et al.	
	36.	US- 6032125	02/29/2000	Ando	
	37.	US- 6044371	03/28/2000	Person et al.	
	38.	US- 6055510	04/25/2000	Henrick	
	39.	US- 6070147	05/30/2000	Harms et al.	
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	41.	US- 6078892	06/20/2000	Anderson et al.	
	42.	US- 6078901	06/20/2000	Ching	
	43.	US- 6092050	07/18/2000	Lungren	
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	45.	US- 6154731	11/28/2000	Monks	
	46.	US- 6173270	01/09/2001	Cristofich et al.	

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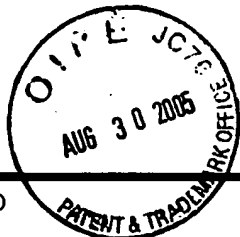
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	47.	US- 6188993	02/13/2001	Eng et al.	
	48.	US- 6236978	05/22/2001	Tuzhilin	
	49.	US- 6249775	06/19/2001	Freeman et al.	
	50.	US- 6269346	07/31/2001	Cristofich et al.	
	51.	US- 6272528	08/07/2001	Cullen et al.	
	52.	US- 6311144	10/30/2001	Abu	
	53.	US- 6321212	11/20/2001	Lange	
	54.	US- 6452613	09/17/2002	Lefebvre et al.	
	55.	US- 6490569	12/03/2002	Grune	
	56.	US- 6502080	12/31/2002	Eichorst et al.	
	57.	US- 6513018	01/28/2003	Culhane	
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*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
			YES	NO
	70.	A Hedge Fund Primer Version 0.1, Farzin Pourmokhtar, www.emf.net, www.emf.net/?farzin/hedgefund.html, Printed 07/24/2002	<input type="checkbox"/>	<input type="checkbox"/>
	71.	Derivatives Primer, CEIBA, Committee on Investment of Employee Benefit Assets, Association for Financial Professionals, 12/2001	<input type="checkbox"/>	<input type="checkbox"/>
	72.	ARMSTRONG, Fund of Funds: Perfect Solution or Dangerous Shortcut?, Investor Solutions, Inc., www.investorsolutions.com, 2001	<input type="checkbox"/>	<input type="checkbox"/>
	73.	Hedge Fund Primer-The Basics, KSP Capital Management LLC, information@kspcapital.com, Printed 07/24/2002	<input type="checkbox"/>	<input type="checkbox"/>
	74.	Hedge Fund Primer About Fund of Funds, Links Securities LLC, www.hedgefund.net/prime_fof.php3, See Publication Site, 2002	<input type="checkbox"/>	<input type="checkbox"/>
	75.	KNEIS, Hedge Fund Strategies: A Primer, Canadianhedgewatch, page 3, Undated	<input type="checkbox"/>	<input type="checkbox"/>
	76.	Huddling With William Bernstein: Small Town Doctor, Investing Pro, Undated	<input type="checkbox"/>	<input type="checkbox"/>
	77.	BOGLE, Mutual Funds at the Millennium: Fund Directors and Fund Myths, New York, NY, 05/15/2000	<input type="checkbox"/>	<input type="checkbox"/>
	78.	HIREMATH, Numerical Credit Scoring Model, Operations Research Bulletin, WA13.16, 04/30/1975, 2 pages	<input type="checkbox"/>	<input type="checkbox"/>
	79.	Primer: Derivatives Instruments, Derivatives Study Center, www.econstrat.orgwww.econstrat.org/dscinstruments.htm, Printed 07/24/2002	<input type="checkbox"/>	<input type="checkbox"/>
	80.	Trading and Capital-Markets Activities Manual, Instrument Profiles: Structured Notes, Federal Reserve System, www.toerien.com - The Turin Group, www.toerien.com/books/manual/4040.htm, Printed 07/24/2002	<input type="checkbox"/>	<input type="checkbox"/>
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			YES	NO
	81.	SPIRER, When "Bad" Credit Behavior Becomes the Norm, Credit World, Vol. 85; Iss. 6, July/August 1997	<input type="checkbox"/>	<input type="checkbox"/>
	82.	Why a Fund of Funds?, Altegris Investments, www.managedinvestments.com, Printed 07/24/02	<input type="checkbox"/>	<input type="checkbox"/>
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